

A

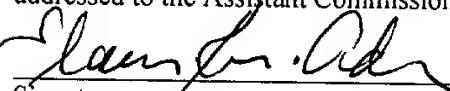
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on October 1, 1999 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL399730669US** addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: **1014C2**

First Named Inventor: Laursen et al.


Signature

Elaine Kramer-Adams
Printed Name

jc583 U.S. PTO



10/01/99



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))
(Continuation, Divisional or Continuation-in-part application)

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☒ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:

Andrew L. Laursen, Bruce K. Martin, Jr., Alain S. Rossmann

**For: METHOD AND SYSTEM FOR SELF-PROVISIONING A RENDEZVOUS TO ENSURE
SECURE ACCESS TO INFORMATION IN A DATABASE FROM MULTIPLE DEVICES**

This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part
of prior Application No.: **08/987,346 filed December 9, 1997**, from which priority under 35 U.S.C. §120 is
claimed.

Application Elements:

☒ 36 Pages of Specification, Claims and Abstract (as previously filed)

☒ 12 Sheets of **informal** Drawings (as previously filed)

☒ Declaration

☐ Newly executed (original or copy)

☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet as filed.
☐ Associate Power of Attorney
☐ 37 CFR 3.73(b) Statement by Assignee
☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
☒ Preliminary Amendment
☒ Return Receipt Postcard
☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.
☐ Other: Microfiche appendix consisting of 2 sheets of microfiche having 195 frames.

Claim For Foreign Priority

- ☐ Priority of _____ Application No. _____ filed on _____
_____ is claimed under 35 U.S.C. § 119.
☐ The certified copy has been filed in prior application U.S. Application No. _____
☐ The certified copy will follow.

Extension of Time for Prior Pending Application

- ☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

Amendments

- ☒ Amend the specification by inserting before the first line the sentence: "This is a
☒ Continuation ☐ Continuation-in-part ☐ Divisional
application of copending prior
☒ Application No. 08/987,346 filed on December 9, 1997,
☐ International Application _____ filed on _____ which
designated the United States,
the disclosure of which is incorporated herein by reference."
- ☒ Cancel in this application original claims 1-31 of the prior application
before calculating the filing fee.

Fee Calculation (37 CFR § 1.16)

	(Col. 1)* No. Filed	Less	(Col. 2) Extra. Filed	SMALL ENTITY RATE	FEE	OR	LARGE ENTITY RATE	FEE
BASIC FEE				\$380	\$		\$760	\$ 760.00
TOTAL CLAIMS	<u>73</u>	<u>-20</u>	<u>53</u>	x 9 =	\$	OR	x 18 =	\$ 954.00
INDEP CLAIMS	<u>6</u>	<u>-03</u>	<u>3</u>	x 39 =	\$	OR	x 78 =	\$ 234.00
<input type="checkbox"/> Multiple Dependent Claims Presented				\$130 =	\$	OR	\$260 =	\$
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2				Total =	\$	OR	Total =	\$ 1,948

☐ Check No. _____ in the amount of \$_____ is enclosed.

☒ The Commissioner is authorized to charge all fees plus any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0516.

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0516.

☒ Please send correspondence to the following address:

Alan D. Minsk
Phone.com, Inc.
800 Chesapeake Drive
Redwood City, CA 94063
 Tel (650) 817-1610
 Fax (650) 817-1499

Date: Sept. 1, 1999 ^{Adm}

Alan D. Minsk
Alan D. Minsk
 Registration No. **35,956**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Andrew L. Laursen, Bruce K. Martin, Jr. and Alain S. Rossmann
Title: Method and System for Self-provisioning a Rendezvous to
Ensure Secure Access to Information in a Database from
Multiple Devices
Serial No.: N/A
Filing Date: Herewith
Examiner: N/A
Group Art Unit: N/A
Docket No.: 1014C2

October 1, 1999

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

PRELIMINARY AMENDMENT

Dear Sir:

This is a preliminary amendment to a continuation of U.S. Patent Application Serial No. 08/987,346, filed December 9, 1997, and is filed with the request for the continuation application. Please amend the continuation patent application as follows before its consideration:

In the title

Please replace the title with a new title:

-- Method and Apparatus for Accessing a Common Database from a Mobile Device and a Computing Device --

In the specification

Please insert on page 1, between "File No.: 89710-2" and "REFERENCE TO MICROFICHE APPENDIX", the following paragraph:

--The present application is a continuation of pending application No. 08/987,346, filed on 12/09/97, entitled "Method And Architecture For Self-Provisioning a Rendezvous To Ensure Secure Access to Information In a Database From Multiple Devices", now allowed.--

In the claims

Please cancel claims 1-31 without prejudice.

Please add the following new claims (numbered 32-104):

32. A method for accessing data contained in a data network system, comprising:
- sending a request to a server hosting the data to retrieve the data by activating a key of a mobile device, the request being sent by executing a first set of program instructions in the mobile device, wherein the mobile device has a display screen and is in communication, over a wireless data network with the server, and further, wherein the data is associated with the mobile device and is also accessible by a computing device executing a second set of program instructions and coupled to the server through a data network;
 - receiving the data from the server via the wireless data network, the data presented in a first format interpretable by the first set of program instructions; and
 - displaying the data on the display screen of the mobile device.
33. The method of claim 32, wherein the data is presented in a second format when accessed by the computing device.
34. The method of claim 32, wherein the first format is a first markup language for use with a mobile wireless device operating in the wireless data network.

35. The method of claim 34, wherein the first markup language is Handheld Device Markup Language (HDML).
36. The method of claim 33, wherein the second format is a second markup language for use with a computing device operating in the data network.
37. The method of claim 36, wherein the second markup language is Hypertext Markup Language (HTML).
38. The method of claim 33, wherein the first format is used to display the data on the mobile device and the second format is used to display the data on the computing device.
39. The method of claim 32, wherein the first set of program instructions is included in a first browser program operated in the mobile device.
40. The method of claim 32, wherein the data comprises at least one of (i) an address book entry, (ii) a bookmark to a web site, and (iii) a link to a source of information, and is accessible from the computing device executing the second set of program instructions.
41. The method of claim 32, wherein the data comprises data for configuring or re-configuring a feature of the mobile device.
42. The method of claim 32, wherein the mobile device is a wireless telephone.
43. The method of claim 32, wherein the mobile device includes a processor, and further, wherein the processor controls a telephony function.
44. The method of claim 32, wherein the data comprises a plurality of selectable

hyperlinks, with each of the hyperlinks providing access to a resource in the data network, and further, wherein the displaying comprises displaying at least one of the selectable hyperlinks on the display screen of the mobile device using the first set of program instructions.

45. The method of claim 44, further comprising:

 sending a second request from the mobile device to the server by executing the first set of program instructions, the second request acting to fetch information associated with one of the hyperlinks.

46. The method of claim 32, wherein the request comprises an address identifier identifying the server.

47. The method of claim 46, wherein the address identifier is a universal resource locator (URL).

48. The method of claim 32, wherein the sending a request further comprises:

 determining whether a communication session between the mobile device and the server is in existence or is valid, wherein the determining of the

communication session further comprises:

 creating the communication session between the mobile device and the server if the communication session is not in existence or is not valid;

 conducting mutual authentication between the mobile device and the server; and

 generating session credential information for the communication session, wherein a subsequent communication between the mobile device and the server is encrypted by the session credential information; and

 forwarding the session credential information to the server to access the data if the communication session is in existence or is valid.

49. A method for accessing data contained in a data network system, comprising:
receiving a request from a mobile device through a wireless data network to access the data, the data being associated with an account for the mobile device and the mobile device having a display screen, wherein the data is accessible by a computing device remotely located and coupled to a data network selected from a group consisting of the Internet, a private network and a network of public and private networks;
retrieving the data after the request is authenticated with respect to the account; and
forwarding the data to the mobile device in a first format displayable on the display screen of the mobile device.

50. The method of claim 49, further comprising:
prompting the computing device for credential information when the computing device attempts to access the data; and
providing access to the data in a second format after the credential information is verified.

51. The method of claim 50, further comprising:
updating the data upon receiving updated information from the computing device.

52. The method of claim 49, wherein the first format is used to display the data on the mobile device and the second format is used to display the data on the computing device.

53. The method of claim 52, wherein the first format is a first markup language and the second format is a second markup language.

54. The method of claim 49, wherein the data comprises a plurality of hyperlinks, and further, wherein the retrieving further comprises:
- contacting a resource identified by the one of the hyperlinks over the data network;
 - fetching the data in a second format from the resource; and
 - converting the fetched data to the first format.
55. The method of claim 54, wherein the first format is a first markup and the second format is a second markup language.
56. The method of claim 49, wherein the data comprises data for configuring or re-configuring a feature of the mobile device.
57. The method of claim 49, wherein the mobile device is a wireless telephone.
58. The method of claim 49, wherein the mobile device includes a processor, and further, wherein the processor controls a telephony function.
59. The method of claim 49, wherein the request includes an update to the data and causes the data to be updated.
60. A wireless mobile device for accessing data in a data network system, comprising:
- a display screen;
 - a memory containing program code for a first browser program; and
 - a processor, coupled to the display screen and the memory, and capable of executing the program code to enable the first browser to perform the operations of
- sending a request over a wireless data network to a server hosting the data to retrieve the data after activation of a key of the mobile device, the data being associated with the mobile device and accessible by a

computing device executing a second browser and coupled to the server through a data network;
receiving the data from the server via the wireless data network, the data presented in a first format interpretable by the first browser; and displaying the data on the display screen of the mobile device.

61. The device of claim 60, wherein the data is presented in a second format when accessed by the computing device.

62. The device of claim 60, wherein the first format is a first markup language.

63. The device of claim 62, wherein the first markup language is Handheld Device Markup Language (HDML).

64. The device of claim 61, wherein the second format is a second markup language.

65. The device of claim 64, wherein the second markup language is Hypertext Markup Language (HTML).

66. The device of claim 61, wherein the first format is used to display the data on the mobile device and the second format is used to display the data on the computing device.

67. The device of claim 60, wherein the data comprises at least one of (i) an address book entry, (ii) a bookmark to a web site, and (iii) a link to a source of information, and is accessible from the computing device executing the second set of program instructions.

68. The device of claim 60, wherein the data comprises a plurality of selectable hyperlinks, with each of the hyperlinks providing access to a resource in the data

network, and further, wherein the displaying comprises displaying at least one of the selectable hyperlinks on the display screen of the mobile device.

69. The device of claim 68, wherein the first browser further performs the operations of:

 sending a second request from the mobile device to the server by executing the program code, the second request acting to fetch information associated with one of the hyperlinks.

70. The device of claim 60, wherein the request comprises an address identifier identifying the server.

71. The device of claim 70, wherein the address identifier is a universal resource locator (URL).

72. The device of claim 60, wherein the sending a request further comprises:

 determining whether a communication session between the mobile device and the server is in existence or is valid, wherein the determining of the communication session further comprises:

 creating the communication session between the mobile device and the server if the communication session is not in existence or is not valid;
 conducting mutual authentication between the mobile device and the server; and

 generating session credential information for the communication session, wherein a subsequent communication between the mobile device and the server is encrypted by the session credential information; and
 forwarding user credential information to the server to access the data if the communication session is in existence or is valid.

73. The device of claim 60, wherein the data comprises data for configuring or re-configuring a feature of the device.

74. The device of claim 60, wherein the device is a wireless telephone.

75. The device of claim 60, wherein the processor of the device also controls a telephony function.

76. An apparatus for accessing data contained in a data network system, comprising:

- a memory containing program code for a server module;

- a processor, coupled to the memory, and capable of executing the program code to enable the server module to perform the operations of

- hosting the data, the data associated with an account for a mobile device, the device having a display screen, and the data being accessible by a computing device remotely located and coupled to a data network selected from a group consisting of the Internet, a private network and a network of private and public networks;

- receiving a request from the mobile device through a wireless data network to access the data;

- retrieving the data after the request is authenticated with respect to the account; and

- forwarding the data to the mobile device in a first format displayable on the display screen of the mobile device.

77. The apparatus of claim 76, wherein the processor further enables the server module to perform the operations of

- prompting the computing device for credential information when the computing device accesses the data;

- providing access to the data in a second format after the credential information is verified; and

- updating the data upon receiving updated information from the computing device.

78. The apparatus of claim 76, wherein the data is presented to the computing device in a second format, and further, wherein the first format is a first markup language and the second format is a second markup language.
79. The apparatus of claim 78, wherein the first format is used to display the data on the mobile device and the second format is used to display the data on the computing device.
80. The apparatus of claim 76, wherein the data comprises a plurality of hyperlinks, and further, wherein the retrieving further comprises:
- contacting a resource identified by the one of the hyperlinks over the data network;
 - fetching the data in a second format from the resource; and
 - converting the fetched data to the first format.
81. The apparatus of claim 76, wherein the data comprises data for configuring or re-configuring a feature of the mobile device.
82. The apparatus of claim 76, wherein the mobile device is a wireless telephone.
83. The apparatus of claim 76, wherein the mobile device includes a processor, and further, wherein the processor controls a telephony function.
84. A computer readable medium encoded with computer program code executable in a mobile device having a display screen, for accessing data contained in a data network system, comprising:
- program code for sending a request over a wireless data network to a server hosting the data, the data being associated with the mobile device and accessible by a computing device coupled to the server through a data network;

program code for receiving the data from the server via the wireless data network, the data received presented in a first format displayable by the mobile device and presented in a second format when accessed by the computing device; and
program code for displaying the data on the display screen of the mobile device.

85. The computer readable medium of claim 84, wherein the first format is a first markup language.

86. The computer readable medium of claim 85, wherein the first markup language is Handheld Device Markup Language (HDML).

87. The computer readable medium of claim 84 wherein the second format is a second markup language.

88. The computer readable medium of claim 87, wherein the second markup language is Hypertext Markup Language (HTML).

89. The computer readable medium of claim 84, wherein the first format is used to display the data on the mobile device and the second format is used to display the data on the computing device.

90. The computer readable medium of claim 84, wherein the data comprises at least one of (i) an address book entry, (ii) a bookmark to a web site, and (iii) a link to a source of information.

91. The computer readable medium of claim 84, wherein the data comprises a plurality of selectable hyperlinks, with each of the hyperlinks providing access to a resource in the data network, and further, wherein the displaying comprises

displaying at least one of the selectable hyperlinks on the display screen of the mobile device.

92. The computer readable medium of claim 91, further comprising program code for sending a second request from the mobile device to the server to fetch information associated with one of the hyperlinks.
93. The computer readable medium of claim 84, wherein the request comprises an address identifier identifying the server.
94. The computer readable medium of claim 93, wherein the address identifier is a universal resource locator (URL).
95. The computer readable medium of claim 84, wherein the program code for sending a request further comprises
- program code for determining whether a communication session between the mobile device and the server exists or is valid, wherein the program code for determining a communication session further comprises
 - program code for creating the communication session between the mobile device and the server if the communication session is not in existence or is not valid;
 - program code for conducting mutual authentication between the mobile device and the server; and
 - program code for generating session credential information for the communication session, wherein a subsequent communication between the mobile device and server is encrypted by the session credential information; and
 - forwarding the credential information to the server to access the data if the communication session is in existence or is valid.

96. The computer readable medium of claim 84, wherein the data comprises data for configuring or re-configuring a feature of the mobile device.
97. The computer readable medium of claim 84, wherein the mobile device is a wireless telephone.
98. The computer readable medium of claim 84, wherein the mobile device includes a processor, and further, wherein the processor controls a telephony function.
99. A computer readable medium encoded with computer program code executable in a server hosting data, the data accessible by a mobile device executing a first browser and by a computing device executing a second browser, wherein the mobile device is coupled to the server through a wireless network and the computing device is coupled to the server through a data network, comprising:
- program code for receiving a request from the mobile device through the wireless network to access the data;
 - program code for retrieving the data;
 - program code for forwarding the data to the mobile device in a first format displayable on the display screen of the mobile device.
100. The computer readable medium of claim 99, further comprising:
- program code for prompting the computing device for credential information when the computing device attempts to access the data;
 - program code for providing access to the data in a second format after the credential information is verified; and
 - program code for updating the data upon receiving updated information from the computing device.
101. The computer readable medium of claim 100, wherein the first format is used to display the data on the mobile device and the second format is used to display the data on the computing device.

102. The computer readable medium of claim 99, wherein the data comprises data for configuring or re-configuring a feature of the mobile device.
103. The computer readable medium of claim 99, wherein the mobile device is a wireless telephone.
104. The computer readable medium of claim 99, wherein the mobile device includes a processor, and further, wherein the processor controls a telephony function.

Remarks

This is a preliminary amendment filed with an accompanying request for a continuation application to U.S. Patent Application Serial No. 08/987,346. Applicants respectfully request the Examiner to enter the above amendments before considering the patent application.

The amendment to the title is intended to make it more consistent with the new claims, and that to the specification is for the purpose of reciting the relationship with the pending application. Pending claims 1-31 are canceled by virtue of the preliminary amendment, and new claims 32-104 are added. The new claims find support in the specification and no new matter has been added by the amendments.

The applicant believes \$1,948.00 is the only fee to be due in this amendment. The Commissioner is authorized to charge this fee and any fees beyond this amount which the PTO believes may be required, or to credit any overpayment, to Deposit Account No. 50-0516.

Please telephone the undersigned at (650) 817-1610, if there are any questions, or if a conference with the Examiner would assist in the examination of this case.

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on October 1, 1999 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL399730669US**, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Elaine Kramer-Adams

Printed Name

Signature:



Respectfully submitted;



Alan D. Minsk

Reg. No.: 35,956

10/14/

Method and System for Self-provisioning a Rendezvous to Ensure Secure Access to Information in a Database from Multiple Devices

Applicants: Andrew L. Laursen
Bruce K. Martin
Alain S. Rossmann

File No.: 89710-2

COPY

REFERENCE TO APPENDIXES

Appendix A, which is a part of the present disclosure, is a microfiche appendix consisting of 2 sheets of microfiche having a total of 195 frames. The microfiche Appendix is a source code listing of one embodiment of the authentication and provisioning process in the present invention, which is described more completely below.

A portion of the disclosure of this patent document contains material, that includes, but is not limited to, Appendix A and Appendix B, which is subject to copyright protection. The copyright owner has no objection to the facsimile reproduction by anyone of the patent document or the patent disclosure, as it appears in the Patent and Trademark Office patent file or records, but otherwise reserves all copyrights whatsoever.

BACKGROUND OF THE INVENTION

Field of Invention

The invention relates to user authentication systems over data network systems, and more particularly relates to a method and system for self-provisioning, through a first device, a rendezvous to ensure secure access to managed information in a user account by other devices through the rendezvous in a data network, wherein the rendezvous is generally identified by a URL, the first device, coupled to the data network, runs a first browser under a

first communication protocol and the other devices in the same data network run a second browser under a second communication protocol.

Description of the Related Art

The Internet is a rapidly growing communication network of interconnected
5 computers around the world. Together, these millions of connected computers form a vast repository of hyperlinked information that is readily accessible by any of the connected computers from anywhere and anytime. To provide mobility and portability of the Internet, wireless computing devices were introduced and capable of communicating, via wireless data networks, with the computers on the Internet. With the wireless data networks, people, as they
10 travel or move about, are able to perform, through the wireless computing devices, exactly the same tasks they could do with computers on the Internet.

The most common remote access paradigm is, as of today, the one in which a laptop personal computer is equipped with a wireless communication mechanism, for example, a wireless modem. This paradigm may remain useful for a considerable number of applications
15 and users, but there has been a growing need for a mobile paradigm in which the Internet can be instantly accessed by mobile devices, such as cellular phones and personal digital assistants. The mobile devices are generally designed small in size and light in weight. With increasing data processing capabilities in the mobile devices, more and more users start carrying the devices around to materialize their unproductive time into productive time. As
20 more commonly seen, regular mobile phones can return calls, check voice mail or make users thereof available for teleconferences anywhere and anytime, but desired mobile phones, not just reactive to calls but also proactive, can meld voice, data, and personal information manager-like functionality into a single handset that can effectively, through a host computer, access a myriad of public and enterprise information services in the Internet.

The evolution of the mobile phones or the mobile devices has been fueled by the demand of users for immediate access to the information they are looking for. For example, a traveler may request an exact flight schedule when he is on his way to airport, or a trader may purchase shares of stock at a certain price. The pertinent information from these ideas or transactions may include the airline and the flight number for the traveler as well as the number of shares and the price thereof being purchased by the trader. To be timely informed, a preferable way is to communicate the information requests electronically into the wireless data network. The data network, for example, connects to a flight information server or stock quote server so that the desired flight information or the current stock price can be retrieved therefrom on demand. However, it becomes troublesome or impractical to key in lengthy information queries electronically into the data network through a mobile device that typically has a keypad with a few buttons, much less functional compared to a keyboard in a personal computer system. There is therefore a great need for a method and system for efficiently communicating desired transactions into a data network through which the transactions can be performed or pertinent information can be retrieved without the need to key in such every time the transactions or the information are desired. In many cases the desired information in a user account, especially regarding personal matters, is preferred to be confidential. Thus there is further a need for a generic solution that provides a method and means for self-provisioning an account entry to a user account that has the proprietary information therein accessible only through the account entry.

SUMMARY OF THE INVENTION

The present invention has been made in consideration of the above described problems and has particular applications to systems of self-authentication by authorized users using devices that have limited computing power. Cellular phones are the typical example that has very little computing power and memory to satisfy the power long lasting and portability requirement, others include Internet-enabled electronic appliances that generally have

computing powers at a minimum as to reduce the cost thereof for market popularity. All these devices, considered as thin devices or clients herein, in data networks, provide users with portable, convenient, and instant access to information being sought in the Internet; for example, retrieving a list of stock quotes using a mobile phone or viewing a list of interested news stations on Internet-connected TVs. In both examples, the mobile phone and a remote control of the TV have very limited user interface to receive inputs from users. One of the important aspects of the present invention is to provide a generic solution for communicating desired ideas or transactions from other devices with rich user interface to such a thin client through a self-provisioned account entry.

While administrated user authentication systems over data networks have been used extensively in areas such as administered network computers and electronic commerce in the Internet, the present invention disclosing a method and system for self-provisioning, through a first device, e.g. the cellular phone or the remote control, a rendezvous to ensure secure access to a user account by other devices through the rendezvous yields unexpected results. The administrated user authentication systems in computer networks generally require each account holder to remember his username and associated password. If the username and password were ever lost or forgot, the corresponding account becomes abandoned or must be clarified by a system administer. The disclosed invention, however, allows a user to self-provision an account entry or a rendezvous with a set of credential information, which does not require the user to write down or remember the credential information in order to access his account. Further, the user is the only one who knows the credential information created in an authenticated and secure communication session for the rendezvous, thereby the account becomes truly proprietary. Moreover through the rendezvous, the present invention for the first time allows efficient means for communicating personalized information into a database by utilizing other computers running an HTML browser with more familiar graphic user

interface while allowing a thin device running a micro browser to access the same personalized information stored in the database.

According to one preferred embodiment of the present invention, a method for provisioning, through a thin device, a rendezvous to a user account in a server to ensure
5 secure access to the user account by a networked computing device through the rendezvous having a URL, thereby the networked computing device can update managed information in the user account that is also accessible by the thin device, the method comprises:

initiating a transaction signal by the thin device to the server; the thin device having a client identification associated with the user account in the server and running a micro
10 browser supported by a first communication protocol, wherein the transaction signal comprises the client identification and the URL of the rendezvous;

examining a communication session between the thin device and the server, wherein the session examination between the thin device and the server comprising:

creating the communication session between the thin device and the server if the
15 communication session is not in existence or is not valid;

conducting mutual authentication between the thin device and the server; and

generating session credential information for the session such that subsequent transactions between the thin device and the server are encrypted by the session credential information; and

20 establishing user credential information for the rendezvous by the thin device; and

associating the user credential information with the rendezvous to the user account in the server.

Upon updating the user credential information to the rendezvous, the networked computing device with the correct user credential information can go through the rendezvous
25 to the user account to edit, modify or update the managed information, e.g. a URL of a Web server, in the user account with a much convenient information entering means, such as an

HTML browser. The thin device can immediately access the managed information, such as the specified URL, to retrieve pertinent information therefrom without the need to key in the URL that often has a number of alphabets.

The system for secure access to a user account in a server, through a rendezvous identified generally by a URL, the rendezvous being exclusively designated to the user account, the system comprising:

a data network comprising an airnet supporting a first communication protocol and a landnet supporting a second communication protocol, the landnet coupled to the server;

a first client device, remotely located with respect to the server device and coupled to the airnet using a first communication protocol, having a client identification exclusively associated with the rendezvous and running a first browser ;

a second client device coupled to the landnet using a second communication protocol and running a second browser;

means for mapping the first communication protocol to the second communication protocol and the second communication protocol to the first communication protocol; the first client communicating with the server via the communication protocol means;

means for mapping the first communication protocol to the second communication protocol and the second communication protocol to the first communication protocol;

means for creating an authenticated and secure communication session between the first client device and the server through the data network; the session creating means comprising:

means for requesting the session by the first client device to the server if the session is not in existence or is not valid;

means for conducting mutual authentication between the first client device and the server; and

means for generating session credential information for the session in creation; and

means, by the first client and through the created session, for updating the rendezvous with user credential information by a first browser such that the user account is accessible by the second client through the rendezvous with the user credential information.

Accordingly, an important object of the present invention is to provide a generic
5 solution for self-provisioning a rendezvous to a corresponding user account created and authorized in a server;

Another object of the present invention is to provide a method and system for efficient and secure access to a user account by self-provisioning a rendezvous to the account as such any computer with a much convenient information entering means may update managed
10 information in the account; and

Other objects, together with the forgoing are attained in the exercise of the invention in the following description and resulting in the embodiment illustrated in the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

15 These and other features, aspects, and advantages of the present invention will become better understood with regard to the following description, appended claims, and accompanying drawings where:

Figure 1 shows a schematic representation of a data network in which the present invention may be practiced;

20 Figure 2.a and 2.b illustrates a representation of system architecture of the present invention and a layout of a corresponding user account in a server in communication with a mobile phone and a PC;

Figure 3 shows a typical example of a mobile device that houses one potion of the linked and complied processes disclosed in the present invention;

Figure 4 illustrates a schematic representation of a mutual authentication process between a mobile device and a host server to ensure subsequent information transacted therebetween is secured;

Figure 5.a and 5.b demonstrate a flowchart showing the corresponding processes in each of the involved devices, respectively; and

Figures 6, 7, 8, 9 and 10 illustrate, respectively, examples of personalizing a user account being accessed through a self-provisioned rendezvous.

DETAILED DESCRIPTION OF THE INVENTION

In the following detailed description of the present invention, numerous specific details are set forth in order to provide a through understanding of the present invention. However, it will become obvious to those skilled in the art that the present invention may be practiced without these specific details. In other instances, well known methods, procedures, components, and circuitry have not been described in detail to avoid unnecessarily obscuring aspects of the present invention.

The detailed description of the present invention in the following are presented largely in terms of procedures, steps, logic blocks, processing, and other symbolic representations that resemble the operations of data processing devices coupled to networks. These process descriptions and representations are the means used by those experienced or skilled in the art to most effectively convey the substance of their work to others skilled in the art. The present invention is a method and system for self-provisioning a rendezvous through a thin device to ensure secure access by other devices to information in a database in a data network. The method along with the system or architecture to be described in detail below is a self-consistent sequence of steps leading to a desired result. These steps or processes are those requiring physical manipulations of physical quantities. Usually, though not necessarily, these quantities may take the form of electrical signals capable of being stored, transferred,

combined, compared, displayed and otherwise manipulated in a computer system or electronic computing systems. It proves convenient at times, principally for reasons of common usage, to refer to these signals as bits, values, elements, symbols, operations, messages, terms, numbers, or the like. It should be borne in mind that all of these similar terms are to be associated with the appropriate physical quantities and are merely convenient labels applied to these quantities. Unless specifically stated otherwise as apparent from the following description, it is appreciated that throughout the present invention, discussions utilizing terms such as "processing" or "computing" or "verifying" or "displaying" or the like, refer to the actions and processes of a computing system that manipulates and transforms data represented as physical quantities within the computing device's registers and memories into other data similarly represented as physical quantities within the computing device or other such as storage, transmission or display devices,

Referring now to the drawings, in which like numerals refer to like parts throughout the several views. Figure 1 shows a schematic representation of a data network 100 in which the present invention may be practiced. The data network 100 comprises an airnet 102 that is generally called wireless network and a landnet 104 that is generally a landline network, each acting as a communication medium for data transmission therethrough. The airnet 102, in which transmission is via the air, is sometimes referred to as a carrier network because each airnet is controlled and operated by a carrier, for example AT&T and GTE, each having its own communication scheme, such as CDPD, CDMA, GSM and TDMA for the airnet 102. The landnet 104 or the Internet, used interchangeably herein, may be the Internet, the Intranet or other private networks. Referenced by 106 is a mobile data device, but resembling a mobile phone therein, in communication with the airnet 102 via an antenna 108. It is generally understood that the airnet 102 communicates simultaneously with a plurality of mobile computing devices of which only a mobile or cellular phone 106 is shown in the figure. Similarly, connected to the Internet 104 are a plurality of desktop PCs 110 and a plurality of

0041005070010
servers 112, though only one representative respectively shown in the figure. The PC 110, as shown in the figure, may be a personal computer SPL 300 from NEC Technologies Inc. and runs a HTML Web browser via the Internet 104 using HTTP to access information stored in the web server 112 that may be a workstation from SUN Microsystems Inc.. It is understood
5 to those skilled in the art that the PC 110 can store accessible information therein so as to become a web server as well. Between the Internet 104 and the airnet 102 there is a link server 114 performing data communication between the Internet 104 and the airnet 102. The link server 114, also referred to as link proxy or gateway, may be a workstation or a personal computer and performs mapping or translation functions, for example, communication
10 protocol mapping from one protocol to another, thereby a mobile device 106 can be in communication with any one of the servers 112 or the PCs 110, respectively.

The communication protocol in the Internet 104 is the well known HyperText Transfer Protocol or HTTP and runs on TCP and controls the connection of a well known HyperText Markup Language Web browser, or HTML Web browser, to a Web server and the exchange
15 of information therebetween. The communication protocol between the mobile device 106 and the link server 114 via the airnet 102 is Handheld Device Transport Protocol (HDTP), or Secure Uplink Gateway Protocol (SUGP), which preferably runs on User Datagram Protocol (UDP) and controls the connection of a HDML Web browser to a link server, where HDML stands for Handheld Device Markup Language, it is similar to that of HTML and a set of
20 commands or statements that specify how information displayed. The specifications of both HDTP and HDML, being considered as the wireless network standards, are provided at <http://www.w3.org> or <http://www.uplanet.com> and incorporated herein by reference. Further a reference specification entitled "Magellan SUGP Protocol", a HTTP specification with network security features is incorporated herein by reference as Appendix B. The HDTP is a
25 session-level protocol that resembles the HTTP but without incurring the overhead thereof and is highly optimized for use in mobile devices that have significantly less computing

power and memory. Further it is understood to those skilled in the art that the UDP does not require a connection to be established between a client and a server before information can be exchanged, which eliminates the need of exchanging a large number of packets during a session creation between a client and a server. Exchanging a very small number of packets during a transaction is one of the desired features for a mobile device with very limited computing power and memory to effectively interact with a landline device.

Referring now to Figure 2, there is depicted a representation of the architecture 120 of the present invention. As described above, the airnet 102 communicates simultaneously with a plurality of two-way mobile communication devices, 122, 124 and 126, generally from a group consisting of mobile phones, two-way pagers and telephones, such as a Duette cellular phone from Samsung Telecommunication America, Inc.. Due to the increasing reduction in size and weight and high portability, most of the mobile devices, considered as thin clients, have a very limited computing power, typically equivalent to less than one percent of what is provided in a typical desktop or portable computer, the memory capacity in a thin client is generally less than 250 kilobytes and the LCD display thereof is perhaps four lines high by twelve or twenty characters, the graphics capabilities thereof are very limited or nearly nonexistent and the general user interface is a keypad having far less buttons than a PC keyboard does. Therefore many transactions desired by users through such clients are preferably predetermined or pre-entered in their user accounts in a host server 128 as such the users need only to select desired transactions to perform or at most key in one or two letters corresponding to desired entries through the keypads of their cellular phones. For example, if there is a list of stock symbols of interest in a user account that is designated to a mobile phone, a user of the mobile phone will not have to key in the symbols every time he desires to look up for the price thereof currently being traded in the stock market. The list of stock symbols is previously entered to the user account. Evidently the most available and convenient means for now is to use a computing device that has powerful and full functional

information entering capabilities. A PC is a typical example of such computing device, the PC can be equipped with the well-known HTML browser that provides a rich graphic user interface and an ideal environment for the user to manage his personalized information in his account.

5 As is well known, the Internet 104 is typically a network of networks connecting computers that are provided the HTML browser. Referenced by 110 is a PC representing one of the computers that use the HTML browser running on HTTP to hyperlink to other computers/servers 132 or 134 to update/fetch information on line or simply copy files therefrom. It should be noted that "user account" and "database" have been used herein
10 sometimes interchangeably when only one account is being addressed. It is generally understood that a database or an allocation of memory, as referenced by 130 in the figure, hosts a plurality of user accounts, each designated to an authorized capacity in which managed or personalized information is kept. Further it is understood that the database 130 can be an independent storage or physically a part of the host server 128. To access the
15 personalized information therein from any computer on the Internet 104, one has to provide an account entry, namely a rendezvous, to a user account in the host server 128 or database 130 with a set of credential information such as a username and a password thereof. Figure 2.b illustrates a layout of a typical user account assigned with a mobile phone 106. Each mobile phone is assigned to a device ID 140 which can be a phone number of the phone or a
20 combination of an IP address and a port number, for example: 204.163.165.132:01905 where 204.163.165.132 is the IP address and 01905 is the port number. The device ID 140 is further associated with a subscriber number (sub #) 142 authorized by a carrier in the link server 114 as part of the procedures to activate the phone 106. The sub # may take the form, for example, of 861234567-10900_pn.mobile.att.net by AT&T Wireless Service, it is a unique
25 identification to the phone 106. In other words, each of the mobile devices 122, 124 and 126 has a unique device ID that corresponds to a user account in a server, respectively. It may be

appreciated by those skilled in the art that the link server 114 does not have to be a separate sever to perform the communication protocol mapping, it can be just a part of the host server 128 and the protocol mapping is a part of functions the host server 128 provides.

A corresponding account 144 in the database 130 is indexed by an account structure 143 comprising the sub # 142, user information 146, a username 148 and a password 150. The sub # 142 is received from the link server 114 as an index to the account structure 143, the user information 146 comprises the account configuration and other account related information. The username 148 and the password 150, namely the user credential information, control the authentication to enter the account 144 in the database 130. From the data network perspective, any computer can logon through HTTP to the rendezvous 152 identified by an address identifier, often a universal resource locator (URL) taking the form of www.xyz.com. In other words, each account in a database is exclusively associated with a rendezvous identified by a unique URL. As shown in the figure, the PC 110 establishes a communication session with the rendezvous 152 based on a given URL of the rendezvous 152. However, to access the associated account 144 in the database 130, the PC 110 must provide a set of correct username and password to the rendezvous 152 that performs a verification thereof with the account structure 143. If the supplied username and password match those in the account structure 143, the access requested by the PC 110 is allowed. Otherwise, the entry to the account 144 is denied.

The PC 110 can update information stored in the account 144 when the supplied username and password are verified. Using the powerful and familiar HTML browser in the PC 110, a user can key in frequently request information, such as a list of stock symbols and a list of URLs of Web servers that provide services to the phone 106. An example will be provided later. All the information entered through the PC 110 become immediately available to the phone 106.

A process named webpwd.cpp in the code listing in the appended Microfiche Appendix A illustrates a provisioning process between the phone 106 and the link server 114 in one embodiment of the present invention. Upon the request of the phone 106, the process, specifically in a subprocess called setNameAndPasswordState(), allows the phone 106 to supply a username and a password and then send the newly supplied credential information to a second subprocess called submitState() that checks if the entered username and password are acceptable, namely the username and password should have a certain length and contain no spaces or unrecognized characters with respect to a general rule of being a username and password. If the username and password are not acceptable, the subprocess submitState() returns to the phone 106 with a corresponding message being either "You must enter a name" or "You must enter a password". Otherwise, the newly entered username and password are sent to another subprocess called SetUserAuth() in a process called HTTPDBMSUserDB. The subprocess SetUserAuth() updates the username and password in the account structure 143, which immediately requires all subsequent logins to the account entry 152 with the newly supplied username and password. A subprocess Authenticate() examines a set of username and password supplied by the PC 106, it compares the username and password from the PC 110 to the ones in the account structure 143. If the comparison is successful, the subprocess Authenticate() returns a AuthPass flag that allows the PC 110 to access the account in the database. Otherwise, it returns a flag that denies the admission of the PC 100 to the account.

It should be noted that the communication between the phone 106 and the link sever 114 is through the airnet 102 in Figure 1. Message carrying proprietary information travelling in the air is not secure. To transact credential information over the open space to provision the rendezvous, user must have an efficient, reliable and secured manner to conduct private communications with the link server. According to one embodiment of the present invention, an authenticated and secure session between the cellular phone 106 and the link server 114 must be in place before the cellular phone, or through which the user, provisions the

rendezvous to access his account from other computers. It is necessary to refer to an architecture of a mobile phone before proceeding with the detail description of creating the authenticated and secure communication between a user's phone (client) and a server. Figure 3 is shown a block diagram of a typical GSM digital cellular phone 160. Each of the hardware components in the cellular phone 160 is known to those skilled in the art and so the hardware components are not to be described in detail herein. Although the user interface of the phone 160 is not shown in detail in the figure, the mobile device 118, resembling a cellular phone, in Figure 1 may be referenced thereto, in which referenced by 116 is a LCD screen and 118 is a key button pad, respectively. The screen 116 prompts user what to proceed with the keypad 118, with a sequence of key entries and through the phone 160, a user can interactively communicate with a server through the airnet, link server and the Internet. According to one embodiment of the present invention, compiled and linked processes of the present invention are stored in ROM 162 as a client module 164 and support module 166. Upon activation of a predetermined key sequence utilizing the keypad 118, a physical layer processor or microcontroller 118, initiates a session communication to the server using the module 164 in the ROM 162.

To establish a secured communication between a cellular phone (a client) and a server, an authentication process must be conducted first to ensure that only interested parties are actually in the communication therebetween. According to one embodiment of the present invention, the code listing thereof being provided in the appended Microfiche Appendix, the process is complete through two rounds of independent authentication, one being the client authenticated by the server, referred to as client authentication, and the other being the server authenticated by the client, referred to as server authentication. Further each authentication is completed in two separate steps for high grade of security, which will be described in detail below. The success of the mutual authentication processes provisions an evidence that the two communicating parties possesses a valid shared secret encrypt key through a mutual

decryption and a challenge/response mechanism. The mutual decryption mechanism comprises the steps of mutually recovering encrypted messages from two involved communicating parties. The challenge/response mechanism, referred to as nonce verification, verifies a predetermined relationship between a sent nonce and a received derivative thereof.

5 In one preferred embodiment of the present invention, the authentication process is conducted with three message exchanges; a Session Request (SR), a Session rePly (SP), and a Session Completion (SC). Figure 4 illustrates a schematic representation of the authentication process. The client 170, representing a mobile device or the cellular phone 106 of Figure 1, to conduct a transaction with the server 172, representing a link server 114 of Figure 2, initiates
10 a SR 174 to be sent to the server 172 by first creating a client proto-session. A client proto-session is a session data structure that gets initialized when a session creation starts. The initialized SR 174 comprises the following essential information:

sessionID – an identifier identifying all requests from the client to the server; In the case of requesting a session creation, sessionID is always assigned to 0;

15 **cipher** - a two-byte number representing the choice of the encryption the client is currently using as there are a number of encryption schemes available in a communication protocol;

deviceID - a variable up to 255-byte, representing the device identifier or the client identifier comprising, a phone number of the device or an IP address and a port
20 number, e.g. 204.163.165.132:01905 ; and

C-nonce – a client nonce represented with a non-repeatable number, usually 2 bytes, used for the client to conduct a following server authentication.

C-nonceModified – a modified version of the client nonce, used for the server to conduct a nonce verification in the following client authentication.

Further the cipher in the SR 174 includes an identifier to an encryption algorithm and associated parameters thereof. To be more specific, the first byte in the cipher represents an identifier to a combination of the encryption algorithm, the key size (e.g. 128-bit for US or 40-bit for foreign countries) and content of a security attachment thereto and the second byte in the cipher indicates the additional parameters related to the first byte. For example, value 1 in the first byte indicates that the encryption algorithm is block cipher RC5, the key size thereof is 128 bit, a two byte check-sum therein is used as the MAC (Message Authentication Code), no IV (Initialization Vector for block ciphers) therefor is transmitted over the network, and padding bytes are added if necessary. The block cipher algorithm RC5 is part of the RSA's BSAFE product. It can be further appreciated that the identifier in the cipher may be assigned to a unique value to identify a non-secure session if so desired. The C-nonce is a non-repeatable number initially and randomly generated in the client and the modified version thereof, C-nonceModified, is generated from the C-nonce through a operational relationship; for example the Exclusive-OR relationship or expressed as follows:

$$\text{C-nonceModified} = \text{2-byte-number} \oplus \text{C-nonce}.$$

It can be appreciated by those who are skilled in the art that there are many ways to get the C-nonceModified from a C-nonce, the Exclusive-OR is one of the operational relationships used in one embodiment of the present invention. Both C-nonce and C-nonceModified are encrypted using the shared secret encrypt key between the client 170 and the server 172. The purpose of the C-nonceModified is to provide the server that receives the SR with means for ensuring that C-nonce is correctly decrypted and validated by examining the C-nonce and its relationship with the C-nonceModified. Both should not be altered after a successful decryption of the C-nonce and the C-nonceModified. In other words, a SR message or signal may be expressed as follows:

$$\text{SR} = \{\text{session ID, cipher, device ID, Encry}[\text{nonce, nonceModified}]\};$$

where Encry[] means that the parameters or contents in the bracket are encrypted accordingly. When the SR is sent by the client to the server to request a session creation, both C-nonce, C-nonceModified are encrypted according to the cipher the client is using at the time the SR is sent out.

5 Upon receiving the SR from the client 170, the server 172 creates a server proto session for the client 170 with a session identifier, referred to as session ID, to identify the session context for the session just created in the server 172. A server proto-session is a session entry marked as a proto status in a session table, which indicates that the session is not authenticated and is not able to conduct any transactions with the client. It is understood to
10 those skilled in the art that the proto-session can be kept in the RAM of the server. If a proto-session already exists for that client, it is re-used. The information in the received SR is saved in the server proto-session. If the server 172 is satisfied with the fact that the client is known, namely Encry[C-nonce, C-nonceModified] in the received SR are successfully decrypted with the shared secret encrypt key, the step one in the client authentication is successful and a
15 corresponding session key is generated and stored with the server proto session entry. It may be noted herein that many encryption schemes used in this invention, such as the scheme utilizing RC5, have a procedure that adds and validates the Message Authentication Code such as the check-sum, to assure that the encrypted message is correctly decrypted, the procedure, every time the decryption takes place, is used herein to examine the transaction
20 integrity, namely to assure the received messages or signals are unaltered in the cause of data transmission. If the step one client authentication is not successful, namely Encry[C-nonce, C-nonceModified] in the received SR are not fully decrypted or supported, the proto session is aborted and removed from the proto session table, resulting in a failed session creation. What the support means herein is the cipher proposed or used by the client is also used by the
25 server, for example the client uses the RC5 encryption to encrypt Encry[C-nonce, C-nonceModified], to decrypt Encry[C-nonce, C-nonceModified], the server must be equipped

with the same RC5 encryption capability therein. If Encry[C-nonce, C-nonceModified] can not be successfully decrypted due to other reasons such as transmission errors, the client must reinitiate a new session request to the server in order to establish a secure communication with the server. To challenge the step two server authentication subsequently at the client side, a derivative of the client nonce or C-nonce, is generated therefor. In one embodiment of the present invention, the derivative is created by adding a constant to the client nonce, for example derivative = C-nonce + 1. The purpose of the derivative is to provide the client with means for reassuring that the C-nonce is correctly decrypted by the server and the server is the right one in communication with.

Right after the successful step one client authentication, the server responds to the client with a Session rePly (SP) to begin a second round authentication; server authentication. The SP comprises the following information:

C-SID – a one byte number indicates the sessionID originally assigned in the client, to be more specific C-SID = 0 indicates a clear text client session, C-SID = 1 indicates a shared secret key encrypted session, and C-SID = 2 indicates a session key encrypted session. In the context of the current description, C-SID = 1.

sessionID - a four-byte number representing an identification and parameters, such as a session encrypt key, of the session created by the server for the client;

key – a session key to be used with a mutually acceptable encryption, and to be used for encryption and decryption in all transactions in the session;

derivative - a number derived from the C-nonce for the client to perform the subsequent server authentication;

S-nonce - a non-repeatable number, used for the server to conduct a following step-two client authentication; it should be noted that S-nonce is generated by the server and generally different from the C-nonce by the client; and

cipher - a two-byte number representing the choice of the encryption the server proposes after the client proposed cipher is received. it may or may not be the same as the one used in the client, to be more specific, the cipher is the same as the one proposed by the client when the server supports the client proposed cipher, otherwise the cipher is the one currently used in the server.

In other words, the SP can be expressed as follows:

SP={C-SID, Encry[sessionID, key, S-nonce, derivative, cipher]};

When the client 170 receives the SP 176 from the server 172, it performs the step one server authentication, which is considered successful if Encry[sessionID, key, S-nonce, derivative, cipher] in the received SP 176 is decrypted successfully with the shared encrypt key. If the step one server authentication fails, the client 170 discards the SP 176 and a new session creation may be started over again. Upon the success of the step one server authentication, the client 170 proceeds with the step two server authentication; namely the predetermined relationship between the C-nonce and the derivative thereof should be hold for a successful step-two server authentication:

$$C\text{-nonce} = \text{derivative} - 1$$

If the C-nonce derived from the SP 176 is the same as the C-nonce originally generated by the client, the step two server authentication is successful, hence the server 172 is considered authenticated, trusted from the viewpoint of the client, and the SP 176 is accepted as a valid message, which means that the client 170 then uses the session key and other information in the SP 176 for the session being created. Only with both successful steps

of the server authentication, the client 170 marks the session as committed, which means that transactions can be conducted subsequently in the session, again only from the viewpoint of the client 170. If the predetermined relationship between the client nonce and the derivative thereof does not hold, the step two server authentication fails and the received SP 176 is discarded. The client 170 may abort the session creation process if no further SP's are received and pass both steps of the server authentication during the time period allowed for a session creation. To provide the server with means for reassuring the client authentication by itself through the client, a derivative of the S-nonce, similar to the derivative of the C-nonce, is generated.

The client 170 then sends the server 172 a SC 178 to complete the session creation process. The SC 178 comprises the following information:

$$SC=\{Encry[derivative]\};$$

where the derivative is the client's response to the server nonce challenge, namely the result of the verification. the derivative is used by the server 172 for step two client authentication.

Further it is noted that the SC 178 is an encrypted message, meaning that the client encrypts the information in the SC 178 according to either its own cipher or the server proposed cipher. Generally the client 170 encrypts the information in the SC 178 according to the server proposed cipher if it accepts the server proposed cipher, otherwise, it encrypts the SC according to its own cipher.

Upon receiving of Session Complete or SC 178, the server 172 tests if the client 170 uses its own proposed cipher or the server proposed cipher by decrypting the SC twice using the two ciphers if necessary. If the server 172 decrypts the encrypted message in the SC 178 and verifies the relationship thereof with the S-nonce, the step two client authentication is succeeded. Subsequently the server 172 promotes the server proto session to the active session and the session creation process is completed, thereby an authenticated and secure

communication session is established between the client and the server. Any transactions in the established communications session are now encrypted by the session key created in the server according to a cipher mutually agreed by both the client and the server, thereby the transactions between the client and the server are truly proprietary. A code listing of one embodiment of the mutual authentication is listed in the Appendix A.

Referring now to Figure 5.a and 5.b, each is illustrated a flowchart showing the processes of the present invention in each involved device, respectively, in conjunction with Figures 6, 7, 8, 9 and 10 demonstrating examples of personalizing a user account being accessed through a self-provisioned rendezvous. A client 200, which can be a cellular phone, in Figure 5.a is one of the mobile devices communicating with a server 250 in Figure 5.b through a data network that is not shown in these figures but illustrated in Figure 1 or Figure 2. It should be noted that the server 250 functions as a link server and a host server. The functional flowcharts on the client and server sides are conjointly described in the following with respect to a cellular phone. Nevertheless it will be appreciated by those skilled in the art that a server, without reciting specifically a link server or a host server, as referenced by 250 can perform similar functions, this becomes evident when the client is a landline device having direct communication to the Internet.

As part of the procedures to activate a cellular phone, a user account, or sometimes called device account, is created in the server 250, the account is exclusively associated with the phone or client 200. In other words, each mobile device in the data network has its own account identified by a corresponding device ID and subsequently a sub # in the server 250. The account for the client 200 is therefore created and configured at 252 according to services subscribed by the client 200. Meanwhile a corresponding account structure, similar to 143 in Figure 2b, is initiated at 254. With an established account in the server 250, the client 200 becomes one of the clients capable of communicating with any computers in a data network.

When a user desires to update his personalized information in his account, he needs to first self-provision the rendezvous associated with his account using the client 200. The phone therefore requests a communication session to the server 250 at 202 for subsequent transactions to take place in an authenticated and secure communication session. From the session creation described above, it can be appreciated that the session creation requested by the client 200 includes a piece of device information assigned to the client 200. If, at 204 and 206, the device information sent to the host is not recognized by the contacting host 250, no communication session can be possibly established therefor. Meanwhile the host 250 receives the session request from the client 200, as part of the session creation process, the device information is examined at 260 and the session creation process proceeds when the device information is verified at 262, that means that the device 200 has an authorized account therein. At 208 and 264 respectively, a mutual authentication process between the client 200 and server 250 takes place. As described above, the mutual authentication process comprises a client authentication and a server authentication, each further comprising two respective steps to ensure that the communicating party is authenticated. Resulting from the mutual authentication process or once the session is created and authenticated at 210 and 266 of the client 200 and the server 250, respectively, a set of session credential information is generated. The session credential information comprises a session ID, a session key and a session cipher. The session ID is used to distinguish the session from other sessions that the host is creating or has already established with other mobile devices or clients, and the session key and the session cipher are to encrypt transactions between the client 200 and the server 250. At 212, the client 200 is acknowledged that there is a rendezvous associated with the account designated to the phone 250. If the user desires to update his personalized information in the account created and authorized in the server 250, he may proceed at 214 with the rendezvous that is generally identified by a URL provided by the host 250 and is subsequently prompted for a set of user credential information, such as a username and a password. At 216, the user credential information is entered. The credential information is then sent to the host 250 at

218, which includes a process of ensuring the newly supplied username and password satisfy a general rule of being a username and a password. The username/password ensuring process has been discussed above and the code listing thereof is in Appendix A. Meanwhile the host 250 is acknowledged that the client 200 is about to receive a set of new user credential information and expects it therefrom at 268. As soon as the new user credential information is arrived, the server 250 updates the user credential information associated with the rendezvous at 270. In other words, to pass through the rendezvous to the user account now by other devices, the new credential information must be provided.

With the newly updated user credential information, the user can now log onto the rendezvous from any computer in the data network. A PC, which is not shown, connected to the data network, is equipped with a familiar HTML-based browser, preferably from Netscape Communication Corporation or Microsoft Corporation. As an example, it is assumed that a user has just provisioned a rendezvous with a username being "marylee" and the corresponding password being "123456". The user now goes to a networked PC that runs a Navigator browser from Netscape Communication Corporation and logs onto the rendezvous based on the URL of the rendezvous. Figure 6 shows an interactive web page 300 received from the server 250 after the PC made the connection to the rendezvous. It is understood to those skilled in the art that the page and subsequent pages can be constructed with HTML along with CGI script/Java applets, where the process, CGI stands for Common Gateway interface, to receive information entered from a user. To update his personalized information in his account, the user must provide the newly created username and password required at 302 and 304. It should be noted that the password entered is generally not echoed at 304 and instead indicated with a asterisk corresponding to a letter entered. When the login icon 306 is activated, the entered username and password are retrieved and sent, through the network, to the server 250 in which the entered username and password are verified; namely the entered username and password match those entered and authorized by the user through the client

200. The user is then prompted with a second web page 310 shown in Figure 7 in which the username is displayed as referenced by 312. To categorize personalized information in the account, the web page 310 comprises entries to other specific service pages, such as Personal Organizer 314, Bookmarks 316 and Create a Message 318. All these pages are accessible by the user to personalize his desired information therein. Figure 8, for example, is a page 326 of the Personal Organizer 314 showing a personalized address book 320 that allows the user to edit his frequently contacted people's phone numbers and other information. Figure 9 is a page of the Bookmarks 316 that allows the user to establish a list of web sites he may frequently visit through his cellular client 200, for example, StockTIPS referenced by 322 allows the user to keep a list of stock symbols there. With the personalized bookmarks, the user, when on the go, can quickly enter into the web pages having his list of the stock symbol to look up for the prices thereof currently being traded in the stock market without keying in any symbols at all. As a convenient feature, the page 330 in Figure 10 allows the user to create an email message and be replied to a different address at 332 decided by the user, which eliminates the inconvenience of typing a lengthy message through a phone keypad and reading a replied message at the small screen in the client 200.

The contents in the exemplary pages respectively shown in Figures 6, 7, 8, 9 and 10 composed by HTML are accessible by an HDML browser through a server providing communication protocol mapping and markup language translation functions. Similarly information or messages entered on the client 200 composed by HDML are equally accessible by any computer equipped with an HTML browser through the same server in the data network. The duality of the information updating through two different mark-up languages provides a useful means for efficiently managing a personal account and solves substantially the problems of inconvenient data entry through a less functional keypad.

The present invention has been described in sufficient detail with a certain degree of particularity. It is understood to those skilled in the art that the present disclosure of

embodiments has been made by way of example only and that numerous changes in the arrangement and combination of parts as well as steps may be resorted without departing from the spirit and scope of the invention as claimed. For example, any mobile devices equipped with a micro browser, e.g. HDML browser, may be connected, using an adapter, to the Internet directly without going through the airnet, the emerging Internet-enabled electronic appliances are also Internet-connected, all have limited computing powers and keypads but are capable of communicating with a server in a data network. The mutual authentication between such devices and the server thus becomes less complicated. The mutual authentication needs a process of having the client, such as a controller of the electronic appliance, authenticated by the server and having the server authenticated by the client. The process can be carried out in existing encryption mechanisms in HTTPS (an extended version of HTTP with built-in security), in which case, the link server could be replaced by a built-in capability in the device, or the HTTPS or the transceiver or somewhere in the connection to the Internet. The principles of the present invention may still be practiced in such configuration. Accordingly, the scope of the present invention is defined by the appended claims rather than the forgoing description of one embodiment.

CLAIMS

What is claimed is:

1. A method for provisioning, through a first client, a rendezvous to a user account in a
5 server to ensure secure access to the user account by a second client through the
rendezvous having an address identifier in the server, the method comprising:

establishing a communication session by the first client with the server using a first
communication protocol according to the address identifier of the rendezvous; the first
client having a client identification associated with the user account and running a first
10 browser;

authenticating mutually between the first client and the server so that the
communication session becomes authenticated between the first client and the server;

establishing user credential information for the rendezvous by the first client; and

associating the user credential information with the rendezvous to the user account in
15 the server wherein the user account in the server becomes accessible by the second client
through the rendezvous by supplying the user credential information thereof.

2. The method as recited in claim 1, wherein the authenticating mutually between the first
client and the server comprises:

determining by the server if the first client has the user account created therefor
20 and authorized therein according to the client identification of the first client;

sending a reply response by the server to the first client if the above determining
the first client by the server succeeds, wherein the reply response comprises server
information;

determining, upon receiving the reply response from the server, by the first client
25 if the server is recognized by examining the received server information.

3. The method as recited in claim 1, wherein the authenticating mutually between the first client and the server comprises generating a session credential information comprising a mutually accepted cipher and a mutually accepted encrypt key such that all subsequent transactions between the first client and the server are encrypted by the encrypt key according to the cipher.

4. The method as recited in claim 3, further comprising:

establishing a connection by the second client to the rendezvous using a second communication protocol according to the address identifier thereof, wherein the second client runs a second browser;

supplying the user credential information to the rendezvous by the second client using the second browser;

verifying the supplied user credential information in the server; and

allowing access by the second client using the second communication protocol to the user account in the server if the supplied user credential information is verified.

5. A system for secure access over a data network to a user account, through a rendezvous identified by an address identifier, in a server, the rendezvous being exclusively designated to the user account, the system comprising:

a server coupled to the data network;

a first client, remotely located with respect to the server and coupled to the data network using a first communication protocol, having a client identification and running a first browser;

a second client, coupled to the data network using a second communication protocol, running a second browser;

a communication protocol mapper for mapping the first communication protocol to the second communication protocol and the second communication protocol to the first communication protocol, and

means for establishing an authenticated communication session between the first client and the server through the data network, the authenticated communication session establishing means comprising:

means for recognizing the first client by the server according to the client
5 identification and

means for recognizing the server by the first client according to a reply response from the server after the first client is recognized by the server.

6. The system as recited in claim 5, further comprising means, in the first client and through the established authenticated communication session, for updating the rendezvous with
10 user credential information.

7. The system as recited in claim 6, further comprising means for verifying the user credential information supplied by the second client using the second browser after the second client logs onto the rendezvous according to the address identifier thereof.

8. The system as recited in claim 7 wherein the first client is a thin computing device and
15 wherein the first browser is a micro-browser.

9. The system as recited in claim 7 wherein the first client is a mobile phone; wherein the first browser is an Handheld Markup Language browser and wherein the first communication protocol is Handheld Device Transport Protocol.

10. The system as recited in claim 9 wherein the second client is a personal computer coupled
20 to the data network.

11. The system as recited in claim 10, wherein the second communication protocol is Hypertext Transfer Protocol and wherein the second browser is an Hypertext Markup Language browser.

12. A method for provisioning, through a first client, a rendezvous to a user account in a server to ensure secure access to the user account by a second client through the rendezvous having an address identifier in the server, the method comprising:

initiating a transaction signal by the first client to the server using a first communication protocol; the first client having a client identification associated with the user account and running a first browser, wherein the transaction signal comprises the client identification and the address identifier of the rendezvous;

examining a communication session between the first client and the server, wherein the examining session comprises:

creating the communication session between the first client and the server if the communication session is not in existence or is not valid;

conducting mutual authentication between the first client and the server; and

generating session credential information for the communication session such

that subsequent transactions are encrypted by the session credential information;

establishing by the first client user credential information for the rendezvous if the communication session is valid; and

associating the user credential information with the rendezvous to the user account in the server.

13. The method as recited in claim 12 further comprising updating the managed information in the user account in the server by the first client using the first browser.

14. The method as recited in claim 13, wherein the first browser is a micro-browser.

15. The method as recited in claim 14, wherein the first browser is an Handheld Device Markup Language browser.

16. The method as recited in claim 15 wherein the initiating the transaction signal comprises hyperlinking the rendezvous using the first communication protocol according to the address identifier of the rendezvous.

17. The method as recited in claim 16 wherein the first browser is Handheld Device Markup Language browser and wherein the first communication protocol is Handheld Device Transfer Protocol.

18. The method as recited in claim 17, wherein the user credential information comprises a username and a password;

19. The method as recited in claim 12, wherein the transaction signal initiated by the first client comprises the address identifier of the rendezvous.

20. The method as recited in claim 19, wherein the mutual authentication conducting between the first client and the server comprises the server conducting a client authentication and the client conducting a server authentication, wherein the client and the server are communicated in the authenticated communication session.

21. The method as recited in claim 12, wherein the transaction signal initiated by the first client comprises at least one client message encrypted by a secret encrypt key shared between the first client and the server.

22. The method as recited in claim 21, wherein the mutual authentication conducting between the first client and the server comprises:

conducting a first client authentication in the server by decrypting the encrypted client message in the transaction signal from the first client;

conducting a first server authentication in the first client by decrypting an encrypted server message in a server response from the server after the first client authentication in

the server succeeds, wherein the server response further comprises a session key and a client derivative of the client message;

conducting a second server authentication in the first client by verifying the client derivative with respect to the client message;

5 conducting a second client authentication in the server by decrypting a client response from the first client wherein the client response comprises a server derivative of the server message; and

10 finalizing session credential information comprising a session ID, the session key and a mutually agreed cipher such that subsequent transactions between the first client and the server are encrypted by the session key according to the mutually agreed cipher.

23. The method as recited in claim 12 further comprising:

establishing a second communication session between the second client and the server using a second communication protocol according to the address identifier of the rendezvous;

15 providing the user credential information, by the second client, to the rendezvous;

verifying the user credential information provided by the second client in the server; and

20 accessing the managed information in the user account in the server by the second client using a second browser if the user credential information supplied by the second client is verified.

24. The method as recited in claim 23, wherein the establishing the communication session between the second client and the server comprises creating the communication session between the second client and the server if the communication session is not in existence or is not valid.

25. A system for secure access, through a rendezvous having an address identifier, a user account in a server, the rendezvous being exclusively designated to the user account, the system comprising:

a data network comprising an airnet supporting a first communication protocol and a landnet supporting a second communication protocol, the landnet coupled to the server;

a first client, remotely located with respect to the server and coupled to the airnet using a first communication protocol, having a client identification exclusively associated with the rendezvous and running a first browser ;

a second client coupled to the landnet using a second communication protocol and running a second browser;

means for mapping the first communication protocol to the second communication protocol and the second communication protocol to the first communication protocol; the first client communicating with the server via the communication protocol means;

means for creating an authenticated and secure communication session between the first client and the server through the data network; the session creating means comprising:

means for requesting the session by the first client to the server if the session is not in existence or in not valid;

means for conducting mutual authentication between the first client and the server; and

means for generating session credential information for the session in creation;

means, in the first client and through the created session, for updating the rendezvous with user credential information by a first browser such that the user account is accessible by the second client through the rendezvous with the user credential information.

26. The system as recited in claim 25, further comprising means, in the second client, for providing the user credential information to the rendezvous so as to access the user account in the server, thereby the second client can update the managed information therein using the second browser.

5 27. The system as recited in claim 26 wherein the first client is a mobile computing device and wherein the first browser is a micro-browser.

28. The system as recited in claim 27, wherein the first client is a cellular telephone and wherein the first communication protocol is Handheld Device Transfer Protocol and wherein the first browser is Handheld Device Markup Language.

10 29. The system as recited in claim 27, wherein the first client is a cellular telephone and wherein the first communication protocol is Hypertext Transfer Protocol and wherein the first browser is Handheld Device Markup Language browser.

30. The system as recited in claim 25, wherein the conducting mutual authentication means comprises:

15 means for conducting first client authentication in the server;

means for conducting first server authentication in the first after the first client authentication in the server succeeds;

means for conducting second server authentication in the first client; and

20 means for conducting second client authentication in the server after the first and second server authentication succeed in the first client.

31. The system as recited in claim 30, further comprising means for generating session credential information for the first client and the server; wherein the credential information comprises a session ID, a session key and a mutually agreed cipher such that

subsequent transactions between the first client and the server are encrypted by the session key according to the mutually accepted cipher;

Method and System for Self-provisioning a Rendezvous to Ensure Secure Access to Information in a Database from Multiple Devices

Applicants: Andrew L. Laursen
Bruce K. Martin
Alain S. Rossmann

File No.: 89710-2

Abstract

The present invention has been made in consideration of thin devices efficiently communicating ideas and transactions into data networks by using other devices with full functional user interface in the networks. According to one aspect of the present invention, the thin device exclusively controls the authentication of a rendezvous that is associated with a user account in a server. The thin device running a micro-browser provisions the rendezvous with a set of credential information in an authenticated and secure communication session so that the provisioning process is truly proprietary. To access the user account, the other devices equipped with well known browsers must submit the correct credential information to the rendezvous for verification in the server. Once admitted, the other devices can update managed information in the user account, individually and respectively, thereby the thin device is able to conduct desired transactions based on the managed information in the user account without the need to key in pertinent information of the transactions.

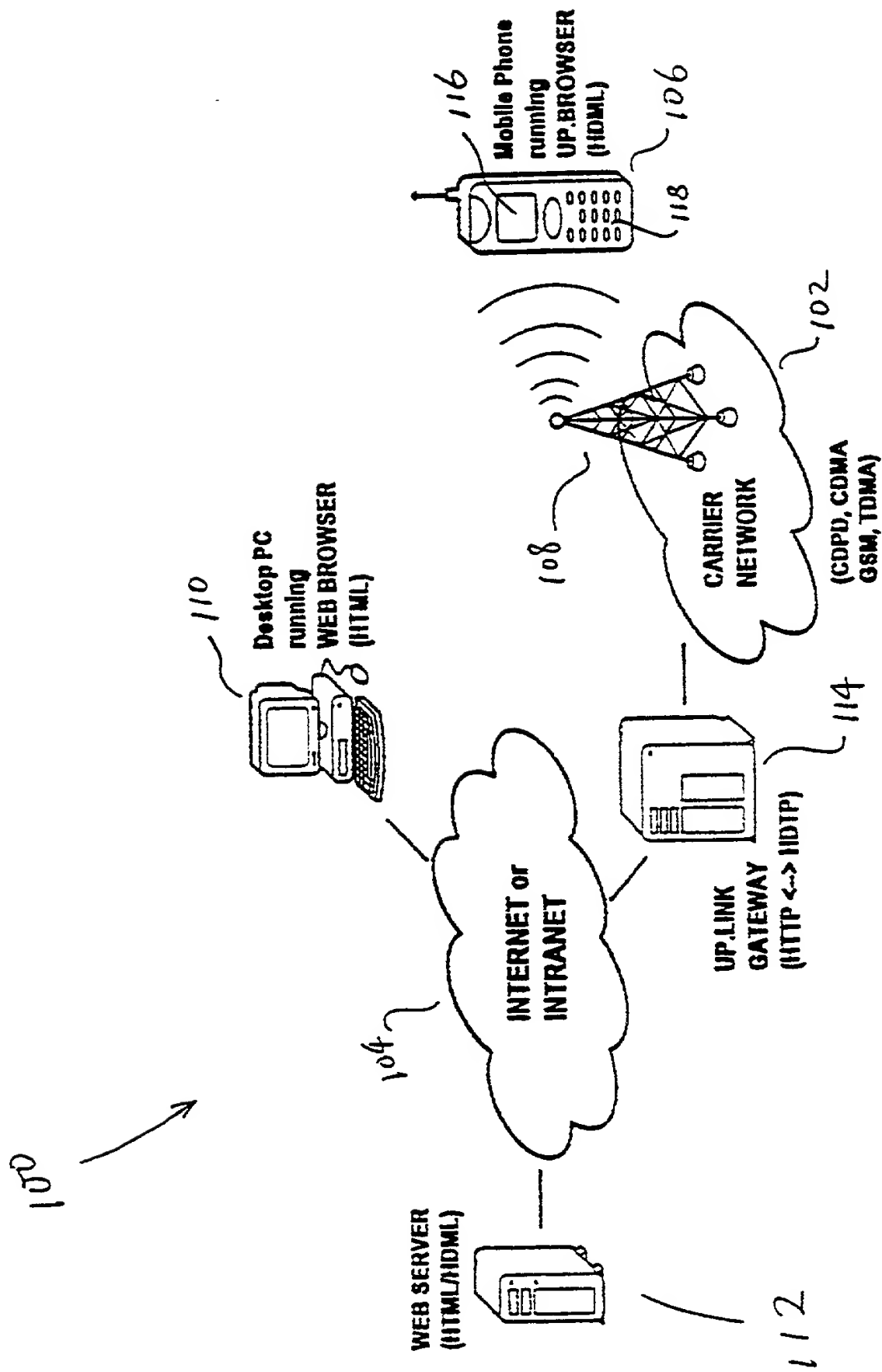


Fig. 1

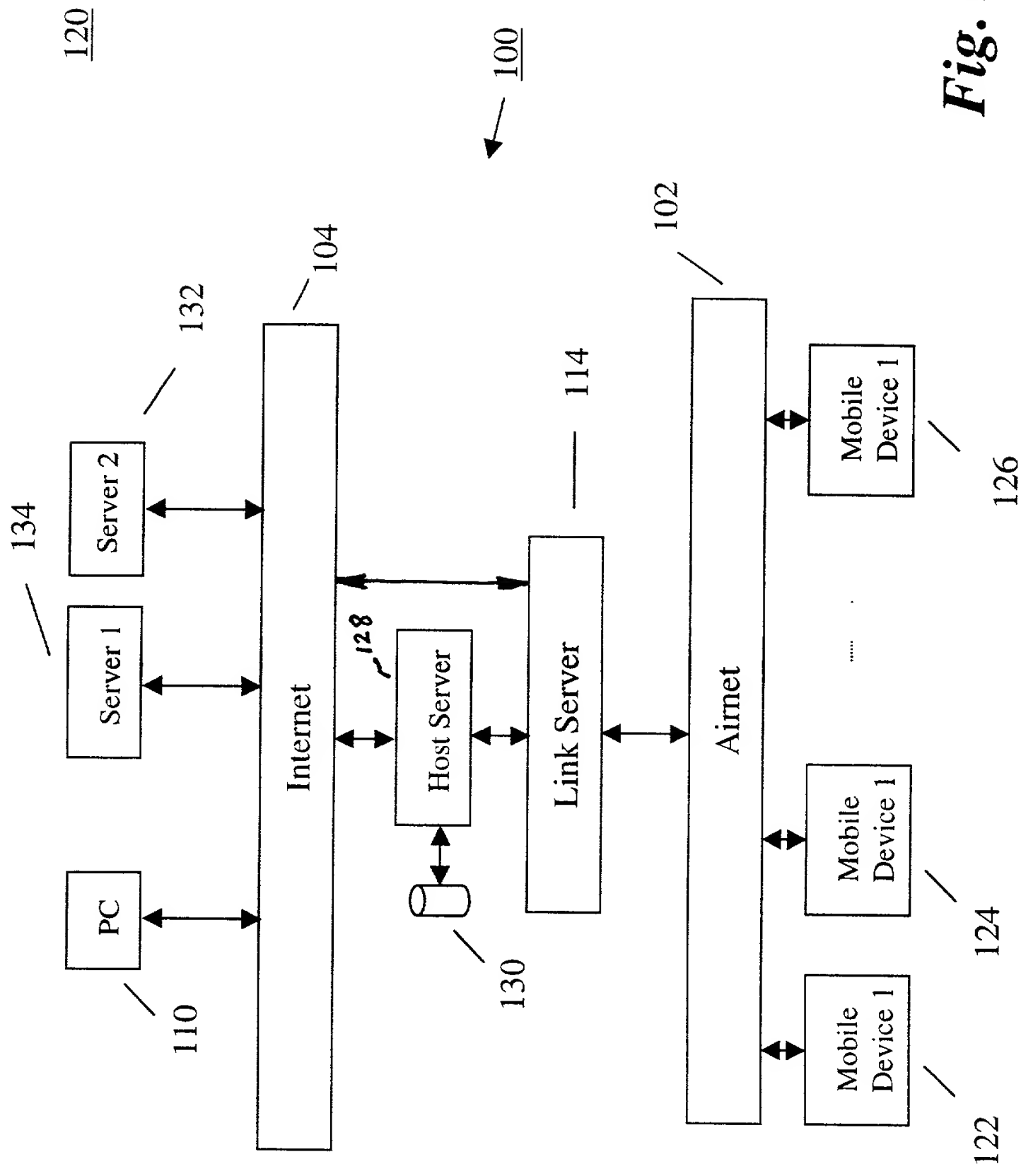


Fig. 2.a

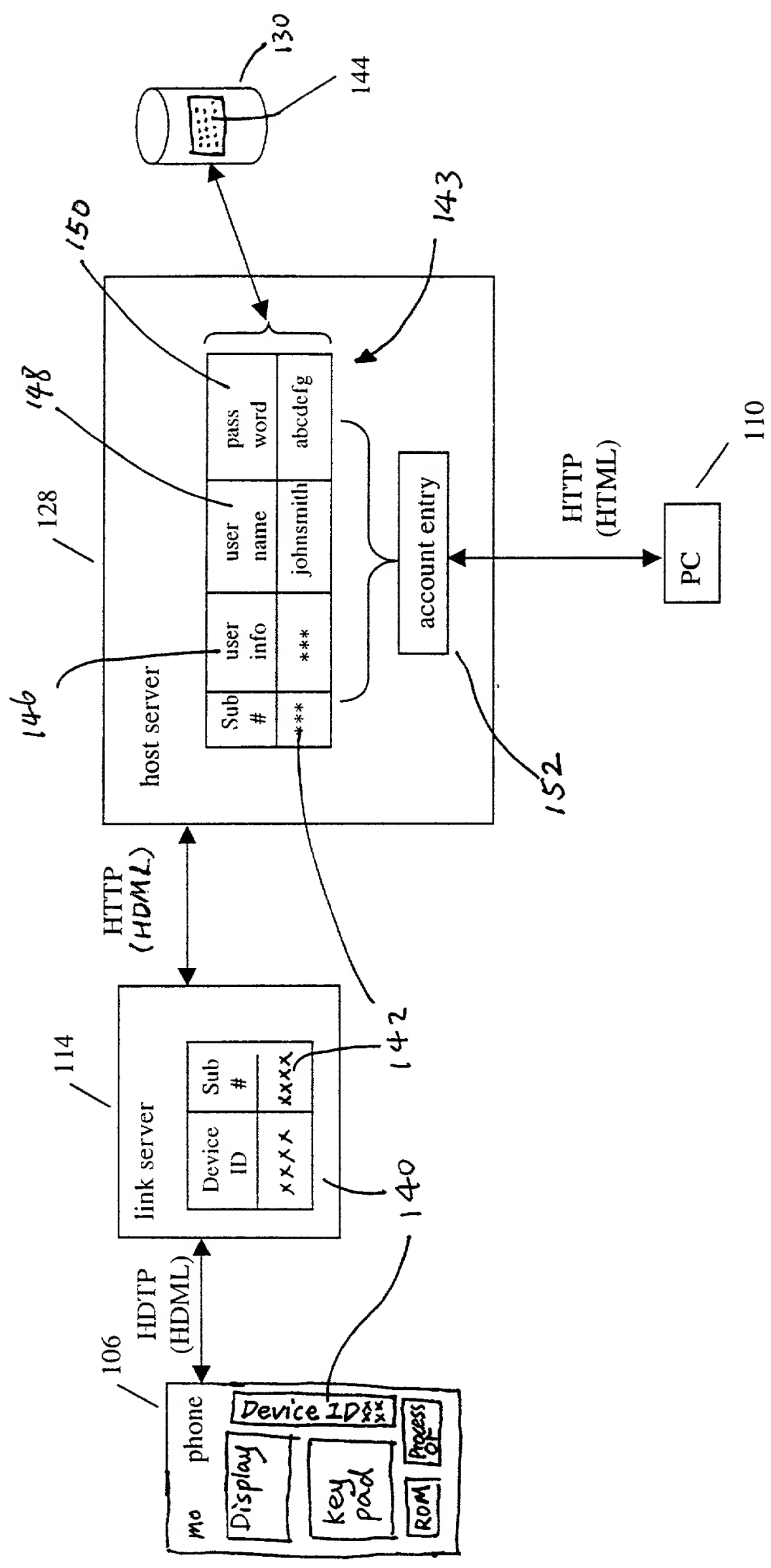


Fig. 2.b

160

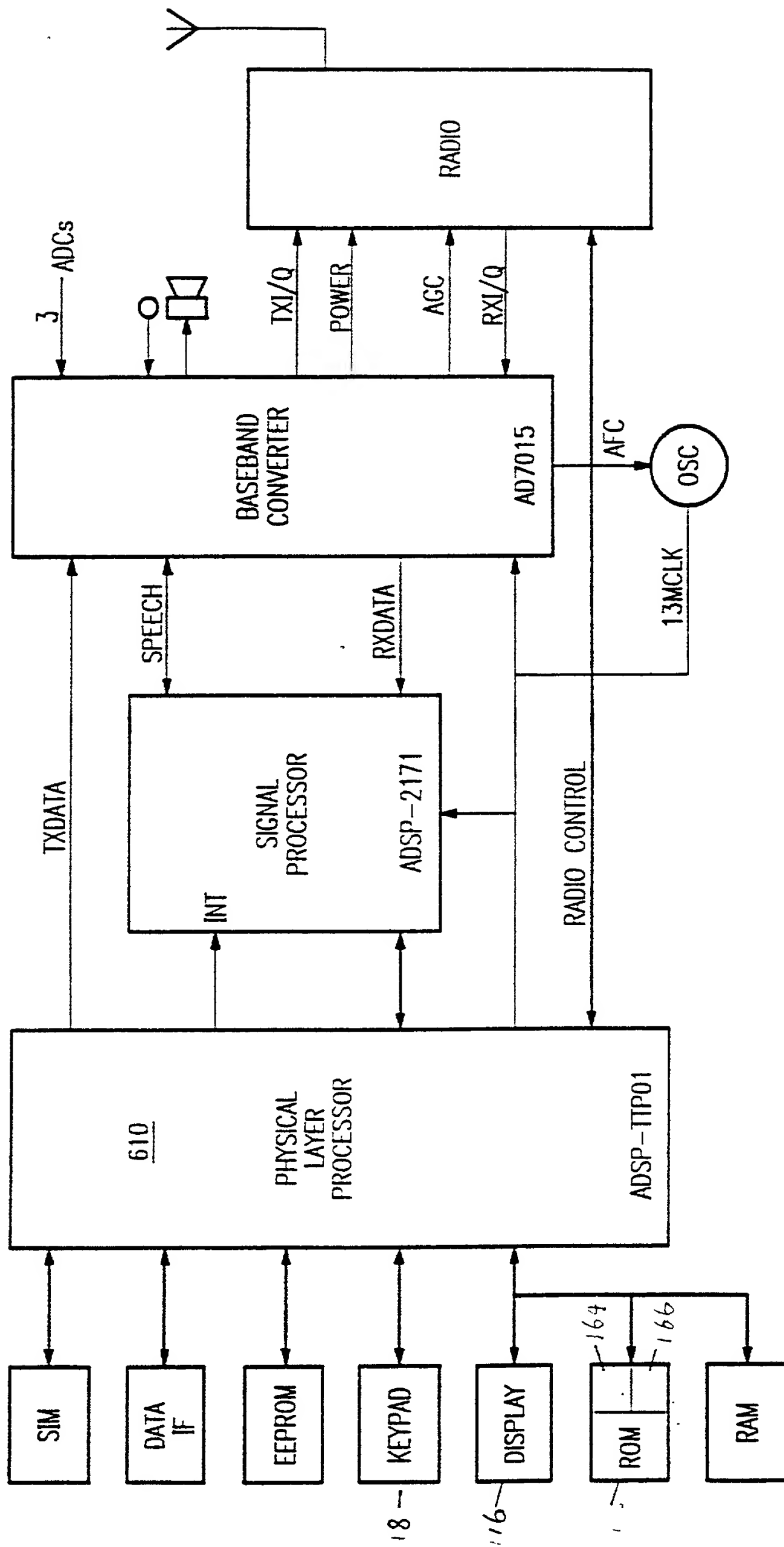


FIG. 3

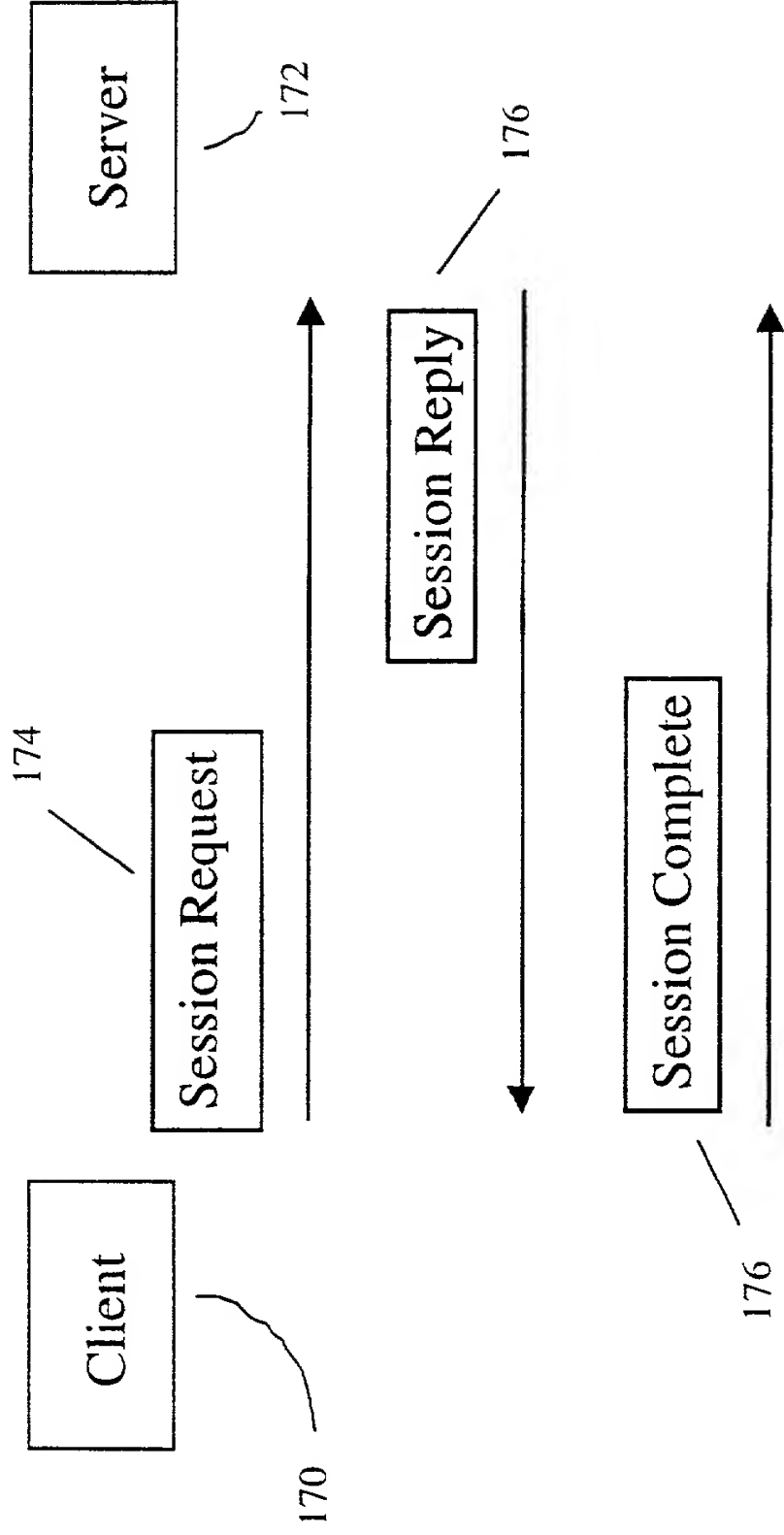


Fig. 4

Client (the phone)

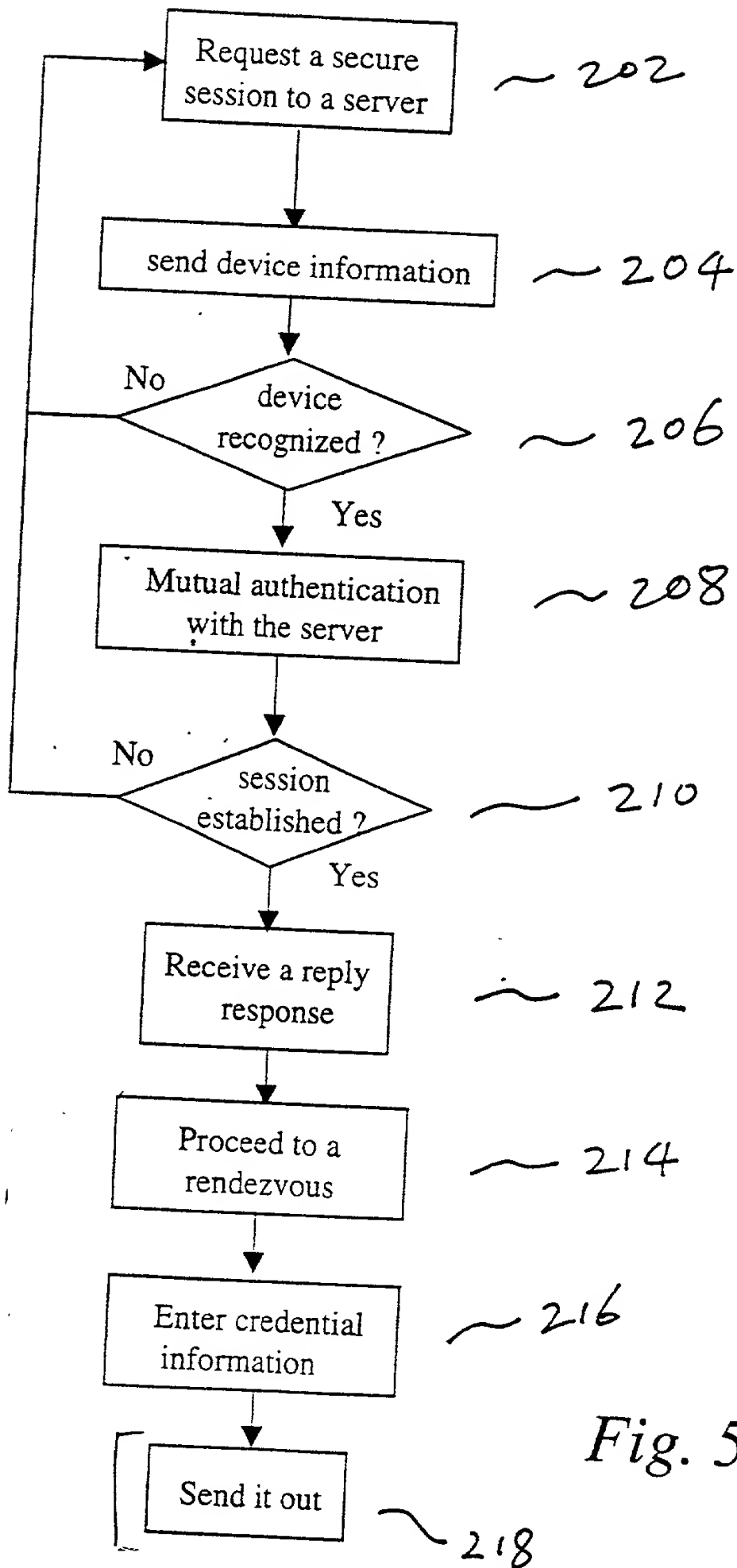


Fig. 5.a

Server

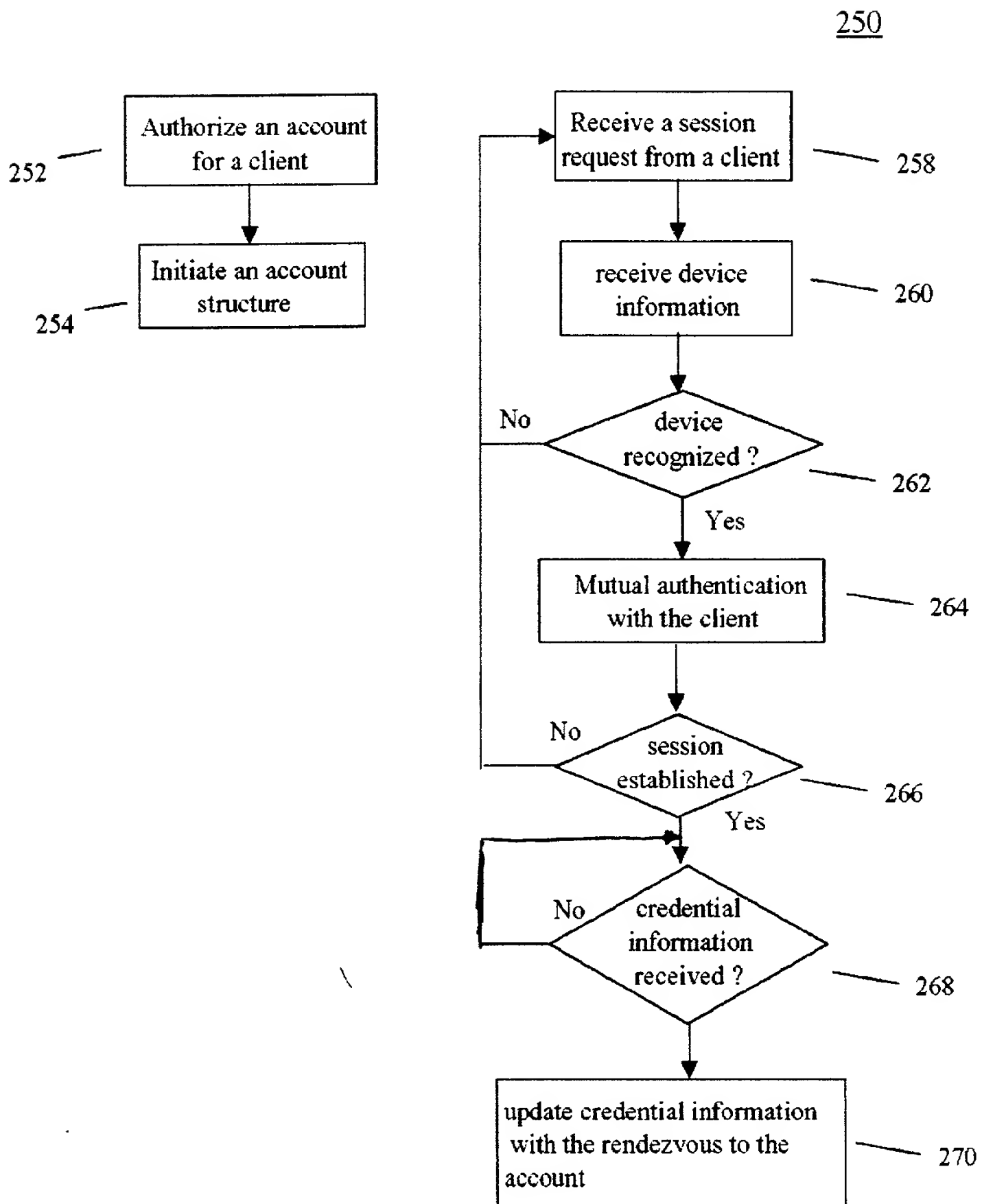


Fig. 5.b

300

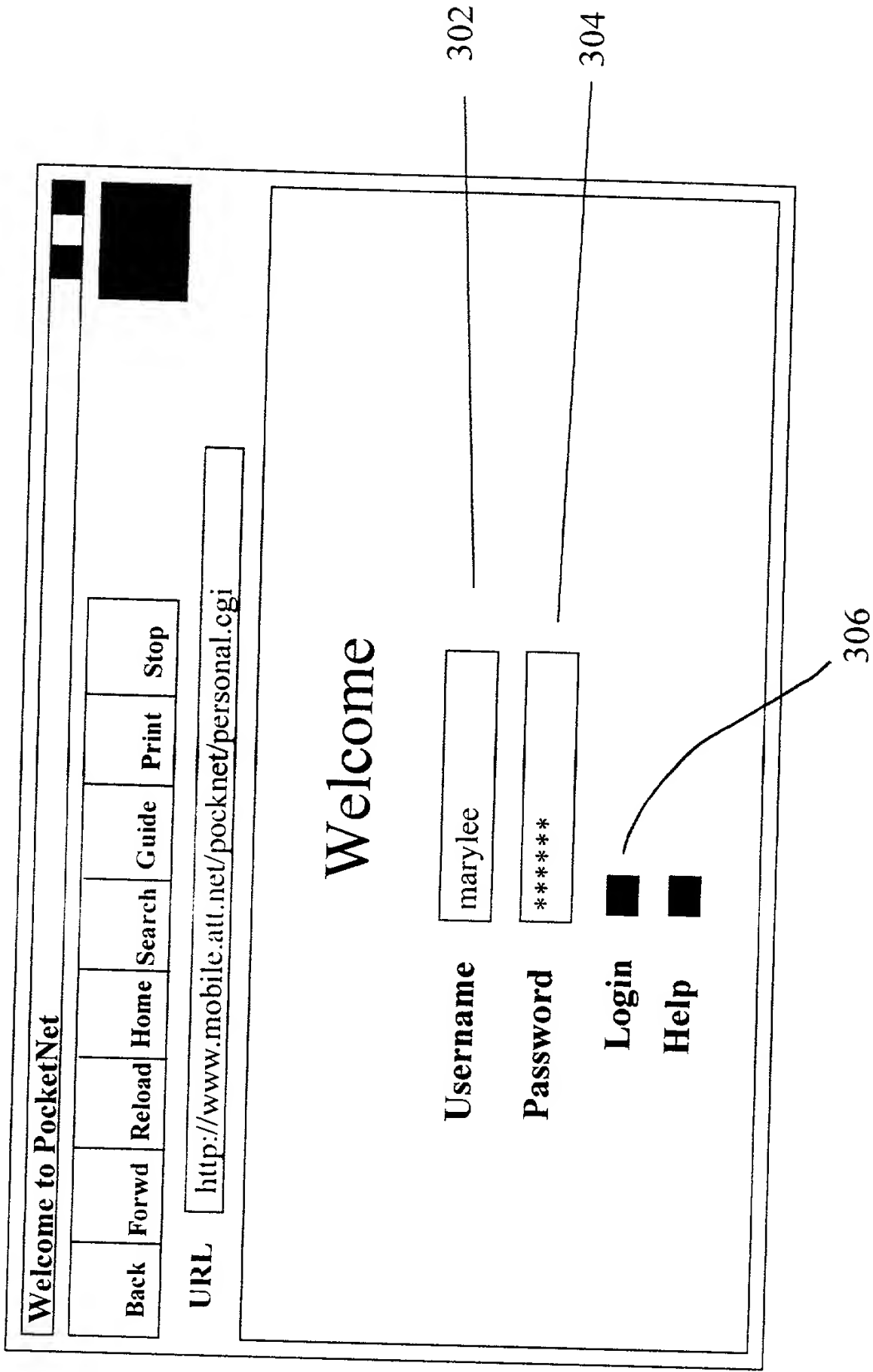


Fig. 6

310

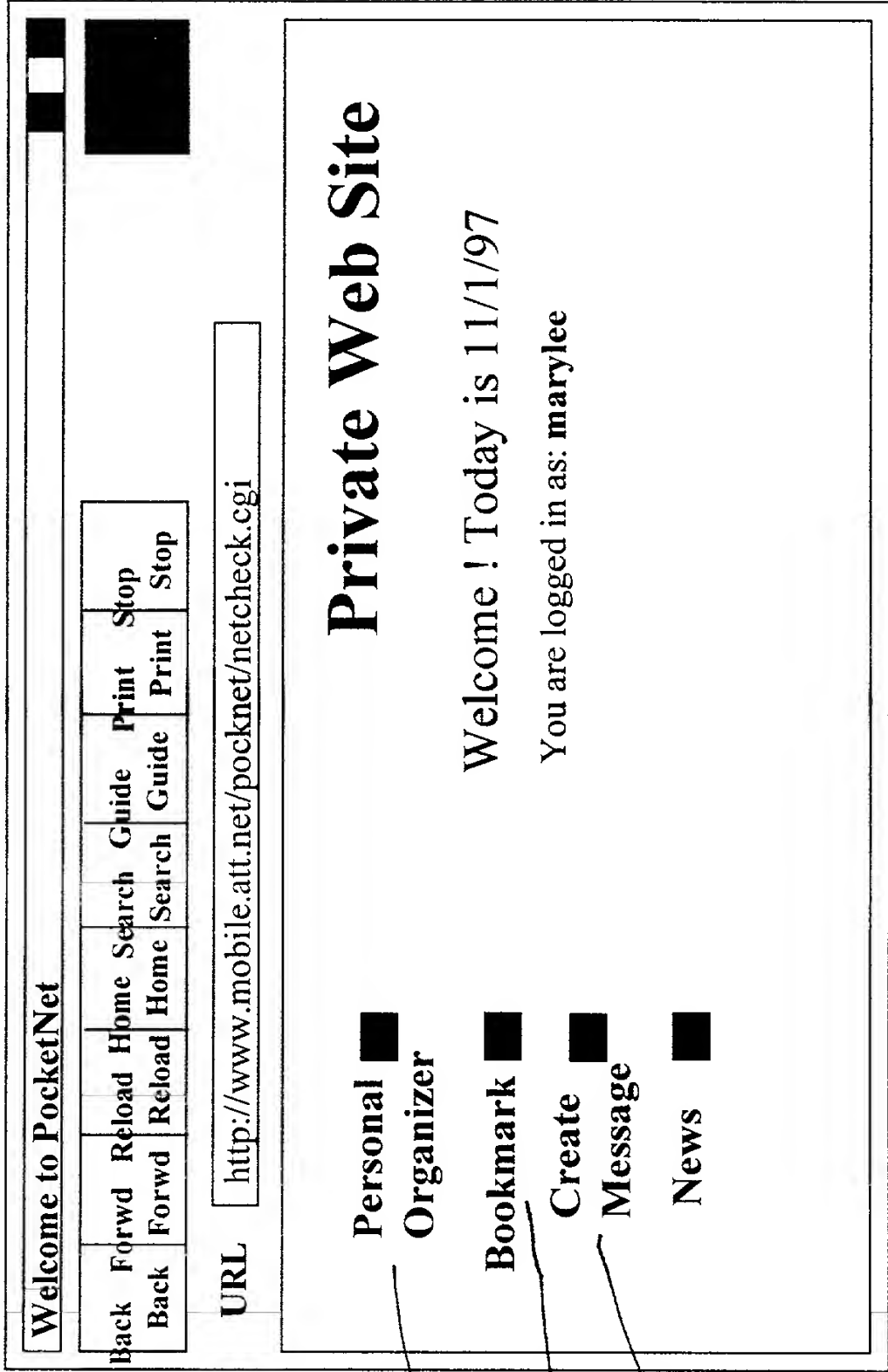


Fig. 7

312

Welcome to PocketNet

Back

Forwd

Reload

Home

Search

Guide

Print

Stop

URL

Personal Organizer

ABC

DEF

GHI

JKL

MNO

PQR

ST

UVW

XYZ

Mary Thomas

John Smith

Dale Sugar

First

John

Last

Smith

Work

(408)555-1212

Home

(408)444-1234

Fax

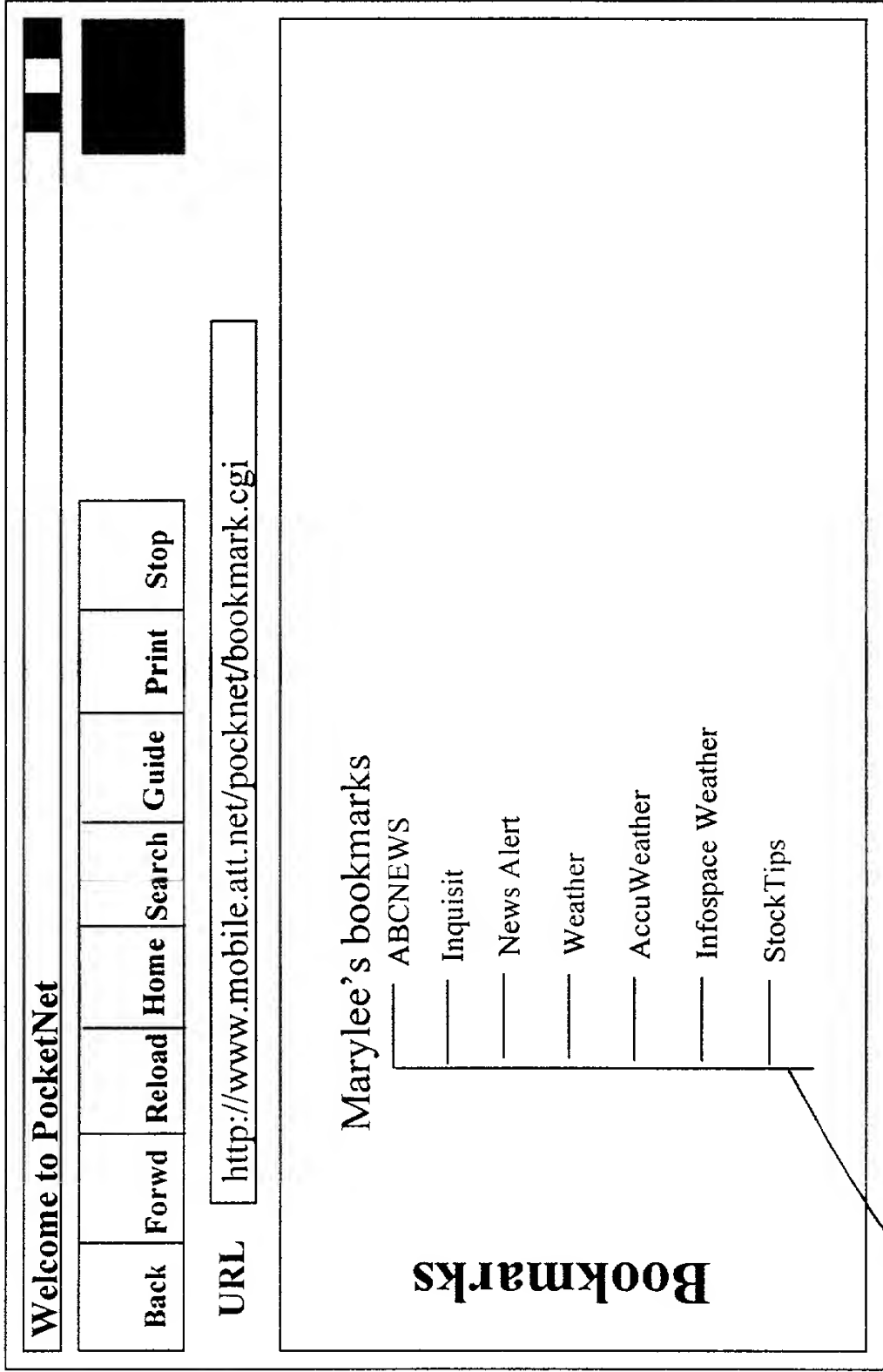
(408)444-4321

☐

Enable download to phone

320

Fig. 8



322

Fig. 9

330

Welcome to PocketNet

Back

Forwd

Reload

Home

Search

Guide

Print

Stop

URL

Create a Message

To:

Enter your email for a reply

From:

Subject:

Message:

John: A meeting is scheduled at 8:00 am tomorrow.

Mary

332

Fig. 10

Declaration and Authorization

COPY

As a below-named inventor, I hereby declare that my residence post office address, and citizenship are as stated below next to my name and that I believe that I am the original, first, and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention, the specification of which is attached hereto and which has the following title:

"Method and System for Self-provisioning a Rendezvous to Ensure Secure Access to Information in a Database from Multiple Devices"

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration. I acknowledge a duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

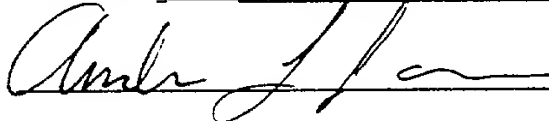
Joe Zheng - Reg. No. 39, 450 is hereby appointed to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please send correspondence to:

Joe Zheng
390 Bridge Parkway, UPI
Redwood Shores, CA 94065

Direct telephone calls to: (408)777-9887 - Joe Zheng

Full name of the first inventor: Andrew L. Laursen

Inventor's signature  Date 11/26/97

Country of Citizenship The United States of America

Residence Address: 324 Seville Way, San Mateo, CA 94402, USA

(continued)

Full name of the second inventor: Bruce K. Martin, Jr

Inventor's signature Bruce K. Martin, Jr Date 11/26/97

Country of Citizenship The United States of America

Residence Address: 604 Wellsbury Court, Palo Alto, CA 94306

Full name of the third inventor: Alain S. Rossmann

Inventor's signature Alain S. Rossmann Date 11/26/97

Country of Citizenship France

Residence Address: 663 Newell Road, Palo Alto, CA 94303, USA

(end)